FOR OFFICE USE ONLY

PREMIUM:
RATED BY:
EFFECTIVE DATE:
RETRO DATE:
REFUND AMOUNT DUE:

ALLIED WORLD
A FAIRFAX Company

Allied World Insurance Company ("Insurer")

Return and make checks payable to:
American Professional Agency, Inc.
95 Broadway, Amityville, NY 11701
(631) 691-6400 • (800) 421-6694

RENEWAL APPLICATION FOR PSYCHIATRISTS' PROFESSIONAL AND BUSINESS LIABILITY INSURANCE COVERAGE

Offered through the Professional Counselors Purchasing Group, Inc.

Notice to Florida Applicants:
License # L045052 issued to Peter Imbert

Notice to Iowa Applicants:
License # 3000928232 issued to Peter Imbert

Notice to California Applicants:
License #0555091 issued to American Professional Agency, Inc.

THIS APPLICATION IS FOR COVERAGE TYPE: ☐ **CLAIMS-MADE**

NOTICE: THE COVERAGE OF A CLAIMS-MADE POLICY IS LIMITED GENERALLY TO LIABILITY FOR ONLY THOSE CLAIMS THAT ARE FIRST MADE AGAINST THE INSURED, OR PROCEEDINGS FIRST BROUGHT, DURING THE POLICY PERIOD, AND REPORTED IN WRITING TO THE INSURER IN ACCORDANCE WITH THE TERMS OF THE POLICY. PLEASE REVIEW THE POLICY CAREFULLY AND DISCUSS THE COVERAGE THEREUNDER WITH YOUR LEGAL OR INSURANCE ADVISOR.

NOTICE: A LOWER LIMIT OF LIABILITY APPLIES TO JUDGMENTS OR SETTLEMENTS WHEN THERE ARE ALLEGATIONS OF SEXUAL MISCONDUCT (SEE SECTION V. (C). "MAXIMUM LIMIT OF LIABILITY - SEXUAL MISCONDUCT" IN THE POLICY).

- This Application must be completed in full, including all required attachments. Write "None" if that applies.
- Attach a separate sheet of paper if more space is needed to answer any question.
- We treat all Applications as confidential. If additional assurances of confidentiality are required, we are willing to address the Applicant's needs.

PLEASE READ THE ENTIRE APPLICATION CAREFULLY BEFORE SIGNING.

I. GENERAL INFORMATION

1. a. Name of Applicant: _____ Policy #: _____

Email address: _____

b. Coverage desired (check one):

☐ Individual ☐ Partnership ☐ Professional Corporation (Incorporated as a P.C. or P.A.) ☐ LLC/LLP
☐ General Business Corporation: ☐ Profit ☐ Nonprofit ☐ Other (Please explain) _____

(If you are unsure of your corporate status, please check your Articles of Incorporation.)

c. **If you have checked anything other than Individual the following MUST BE INCLUDED: a copy of articles of incorporation, a letter describing all services provided, any brochures if available, and a listing of owners and/or partners, indicating the percentage owned by each.**

II. APPLICANT INFORMATION

2. Have any of your responses to Questions 3, 4, 5 or 6 below changed since your completion of the prior application for this coverage? ☐ Yes ☐ No
   If yes, please respond to Questions 3, 4, 5 and 6 below.

   If no, please go directly to Section III. of this Application.

3. a. Principal Office Address: _____

   _____
   (City) (County) (State) (Zip)
   Entity and/or Facility Name: _____

   Note: If you have been practicing at this location fewer than 3 years, please provide us with your previous location on a separate sheet of paper and the length of time at that location.

   b. Any Other Office Address: _____

   _____
   (City) (County) (State) (Zip)
   Entity and/or Facility Name: _____

   c. Home Address: _____

   _____
   (City) (County) (State) (Zip)

   d. If you are practicing in multiple locations which are located in different counties and/or states, please provide a percentage of time spent in each location.

4. To which of these addresses do you wish correspondence sent? ☐ 2a ☐ 2b ☐ 2c

5. Office Telephone: ( ) _____ Fax #: ( ) _____ Home Telephone: ( ) _____

6. a. Change in Policy Limits Requested? _____ / _____

   b. Are you interested in changing your limits for defense expenses related to licensing board investigations and other proceedings as described in the Policy? ☐ Yes ☐ No

   If yes, choose desired limit of liability desired for defense expenses related to licensing board investigations and other proceedings as described in the Policy:

   ☐ $50,000 (included at no charge)
   ☐ $75,000 (Additional Premium $61)
   ☐ $100,000 (Additional Premium $122)
   ☐ $125,000 (Additional Premium $183)
   ☐ $150,000 (Additional Premium $244)

Please include the additional premium indicated with your premium payment.

III. PRACTICE CHARACTERISTICS

7. Have any of your responses to Questions 8, 9, 10, 11, 12 or 13 below changed since your completion of the prior application for this coverage? ☐ Yes ☐ No

If yes, please respond to Questions 8 through 13 below.

If no, please go directly to Section IV. of this Application.

8. a. List your name and qualifications. In addition, list the names and qualifications of all your salaried (W2) employees, except clerical. If you are applying for a partnership policy, please list all partners as well. Please use a separate sheet of paper if additional space is required.

Name	Degree	Field of Study	Professional Association Membership		Number of hours practice each week	License or Certification			
			Associa-tion name	Member-ship Level		First Year Licensed	State	Title	Board Certified? Yes/No

b. **Please attach a copy of a Curriculum Vitae (C.V.) for each professional and a copy of each professional's medical license.**

9. PRACTICE PROFILE

a. Does your practice include specialties? ☐ Yes ☐ No

If yes, please specify: ☐ Pediatrics ☐ General Practice ☐ Family Practice ☐ Other_____

b. Do you seek coverage for neurology practice (additional charge will apply)? ☐ Yes ☐ No

If yes, are you seeking to include coverage for neurological procedures? ☐ Yes ☐ No

If yes, please complete the Supplemental Application for Neurology with Procedures.

c. Composition of your practice: Children/Adolescents/Related Adults_____% Prisoners_____%

Adults (not related to above) _____% Sex Offenders_____% Custody Evaluation _____%

If your practice includes prisoners, is this a correctional facility? ☐ Yes ☐ No

If yes, is insurance coverage provided for these activities by such facility? ☐ Yes ☐ No

d. Do you have admitting privileges? ☐ Yes ☐ No

If no, please describe your mechanism for handling your patients who may require immediate in-patient care:

_____

e. Do you create and maintain a psychiatric/medical record for each patient under your care? ☐ Yes ☐ No

If no, please explain: _____

f. When prescribing medication, do you provide your patients with the risks, benefits, alternatives and side effects of the medication and note in the chart? ☐Yes ☐No

g. Do you provide medication management for patients who see another professional (e.g. Ph.D., MSW) as their primary therapist and see you for medication management only? ☐Yes ☐No

If yes, for how many patients per week? _____

Do you periodically see such patient(s) for reasons other than medication management? ☐Yes ☐No

If yes, please describe: _____

Do you discuss risks, benefits, alternatives, and side effects of medications and note this in the patient chart? ☐Yes ☐No

h. Do you regularly treat general medical conditions presented by your psychiatric patients? ☐Yes ☐No

If yes, please indicate: (1) Average number of patients per week you provide treatment to: _____

(2) Nature of the conditions you treat and the type of treatment you provide: _____

_____

i. Have you ever practiced a specialty other than psychiatry or neurology? ☐Yes ☐No

If yes, please specify: _____

j. Do you advertise as a specialist* in the evaluation and treatment of any of the following?

☐Borderline Personality Disorder ☐Chronic Pain ☐Multiple Personality Disorder or Dissociative Disorders

☐Childhood Sexual Abuse ☐Eating Disorder ☐Sex Therapy

***Note**: "Specialist" is indicated by 1) advertisements, 2) marketing materials, 3) letterhead, or 4) employment, contractual relationship or admitting privileges at any institution with a special interest in any of the above.

k. Do you supervise any other psychiatrist or other mental healthcare providers specializing in the disorders/activities listed in question "j"? ☐Yes ☐No

l. Does your treatment include use of abreaction, rage, sodium amytal, sex or recovered memory therapies? ☐Yes ☐No

If yes, please explain the clinical details regarding this treatment._____

_____

m. Does your practice include forensic activities, e.g. child custody and visitation, criminal responsibility; competence, civil and criminal; correctional psychiatry; juvenile justice and violence? ☐Yes ☐No

What is the percent of your total practice time devoted to this activity? _____%

On a separate sheet, please explain the exact type of forensic activities.

n. Do you communicate with your patients via e-mail? ☐Yes ☐No

Please explain the nature of communications in detail._____

o. Does your practice include telemedicine activities, e.g. the transfer of data through electronic (video or computer)

means in order to provide healthcare to patients who are geographically separated from the clinicians involved?

☐ Yes ☐ No

What is the total practice time devoted to this activity? _____%

On a separate sheet, please explain the exact type of telemedicine activities.

p. Do you engage in any clinical trials and/or pharmaceutical research? ☐ Yes ☐ No

If yes, does the sponsor agree in writing to indemnify you for such research activities? _____

(Please include a copy of these indemnification agreements.)

If no, please explain type and extent of such activities: _____

q. Do you treat patients with unconventional therapy, i.e. treatment not considered to be mainstream psychiatric treatment? ☐ Yes ☐ No

If yes, please describe: _____

r. Do you cover any ER for crisis cover? ☐ Yes ☐ No

If yes, please indicate percentage of time devoted to this activity: _____%

Is this on call? ☐ Yes ☐ No

If yes, approximately how many hours per week? _____

10. a. Are you engaged in self-employment, paid consultation or private practice? ☐ Yes ☐ No

b. Are you employed (W2 form employee)? ☐ Yes ☐ No

If yes, employed by: _____

c. Are you or any person named in Question 8(a) a salaried employee of any organization other than the Applicant's firm or do you own, partly own, manage or exercise any form of fiduciary control over any business enterprise?

☐ Yes ☐ No

If yes, please explain:_____

11. Do you serve on a HMO, PPO or any other type of peer review board? ☐ Yes ☐ No

If yes, please describe: _____

12. a. Are you on the staff of, or affiliated with, any hospital, clinic, group home or nursing home? ☐ Yes ☐ No

If yes, please list institution, nature of work and hours per week. _____

_____

b. Are you provided malpractice coverage by a facility or place of employment, or any other policy that covers you?

☐ Yes ☐ No

If yes, please indicate location of the facility or place of employment and limits provided._____

c. Do you have any direct or indirect financial interest in any hospital, pharmacy, diagnostic or therapeutic laboratory, nursing home, health service or any health care service to which you refer your patients?

☐ Yes ☐ No

If yes, please specify and fully explain._____

13. a. Does the Applicant use any Independent Contractors or Consultants (1099 form) whose services are in the mental health field and who you do billing for, share fees with or in any way derive income from the relationship? ☐ Yes ☐ No

b. If yes, please list the name and professional credentials of each one.

All Independent Contractors or Consultants (1099 form) must be included. YOU WILL BE COVERED FOR THEIR ACTS SUBJECT TO THE TERMS OF THE POLICY, BUT THE INDEPENDENT CONTRACTORS OR CONSULTANTS LISTED ARE NOT INSURED.

Name of Independent Contractor or Consultant	Degree	Field of Study	License or Certification	
			State	Title

If additional space is required, please use a separate sheet of paper to submit a complete listing.

IV. REPRESENTATIONS

14. After inquiry* of each individual listed in Question 8**:

* "After inquiry" means that the Applicant has inquired of each person as to whether he/she has information pertinent to this question. If you answer "Yes", please include all documents pertinent to the situation you are describing.
** In the event Question 8 above has not been completed, "each individual listed in Question 8" shall include those individuals listed in your prior application for this coverage.

a. Has any person named in Question 8, including yourself, ever been convicted of a crime in any state or country? ☐ Yes ☐ No

If yes, please give full particulars in order for your Application to be considered. _____

_____

_____

b. Has any person named in Question 8, including yourself, ever had any licensing board or professional ethics body require the surrender of a license or found any such person or you guilty of a violation of ethics codes, professional misconduct, unprofessional conduct, incompetence or negligence in any state or country? ☐ Yes ☐ No

If yes, please give full particulars and provide copies of charges, correspondence and any findings in order for your Application to be considered._____

_____

_____

c. Are there any complaints, charges or investigations pending against any person named in Question 8, including yourself, by a licensing board or professional ethics body for violation of ethics codes, professional misconduct,

unprofessional conduct, incompetence or negligence in any state or country? ☐ Yes ☐ No

If yes, please give full particulars and copies of charges, correspondence and any findings in order for your Application to be considered._____

_____

_____

NOTE: MISSOURI APPLICANTS DO NOT RESPOND TO QUESTION 14d.

d. Has any person named in Question 8, including yourself, ever had any insurance company or Lloyd's decline, cancel, refuse to renew, or accept only on special terms any professional liability insurance? ☐ Yes ☐ No

If yes, please give full particulars in order for your Application to be considered._____

_____

_____

e. Has any professional liability claim or suit ever been made against any person named in Question 8, including yourself, their predecessors in business or against any past or present partner(s)? ☐ Yes ☐ No

If yes, please give full particulars and copies of any summons and complaints, pertinent correspondence and outcome, if any, in order for your Application to be considered.

_____

_____

f. Are there any circumstances, including any loss of private or confidential information, of which any person named in Question 8, including yourself, is aware of that may result in any professional liability claim or suit being made against any person named in Question 8, including yourself, their predecessors in business or against any past or present partners(s)? ☐ Yes ☐ No

If yes, please give full particulars in order for your Application to be considered._____

_____

_____

g. Is any person named in Question 8, including yourself, engaged in or ever been engaged in any sexual misconduct* with any of your current or former patients or any current or former patient's spouse or any person with a direct relationship to the current or former patient (for example a guardian, blood relative of the patient or spouse or any person sharing the patient's domicile)? ☐ Yes ☐ No
(*"Sexual misconduct" means any actual or alleged erotic physical contact or attempt, threat or proposal thereof.)

If yes, please give full particulars in order for your Application to be considered.

_____

_____

h. Has any person named in Question 8, including yourself, ever had any hospital restrict or revoke privileges or invoke probation for any cause? ☐ Yes ☐ No

If yes, please give full particulars in order for your Application to be considered.

_____

_____

i. Has any person named in Question 8, including yourself, ever been suspended, restricted, or put on probation by any governmental health program (e.g. Medicare or Medicaid)? ☐ Yes ☐ No

If yes, please give full particulars in order for your Application to be considered.

_____

_____

j. Are you now being, or have you ever been, treated for a serious health problem that did or can impair your ability to treat patients? ☐ Yes ☐ No

If yes, please give full particulars in order for your Application to be considered.

_____

V. NOTICES TO APPLICANT & FRAUD WARNINGS

The undersigned, as authorized agent of all individuals and entities proposed for this insurance, represents that, to the best of his/her knowledge and belief, after diligent inquiry, the statements in this Application and any attachments or information submitted to or obtained by the Insurer in connection with this Application (together referred to as the "Application") are true and complete.

The information in this Application is material to the risk accepted by the Insurer. If a policy is issued it will be in reliance by the Insurer upon the Application, and the Application will be the basis of the contract. The Application is on file with the Insurer, and shall be deemed to be attached to, and made a part of, and incorporated into the Policy, if issued.

The Insurer is authorized to make any inquiry in connection with this Application. The Insurer's acceptance of this Application or the making of any subsequent inquiry does not bind the Applicant or the Insurer to complete the insurance or issue a policy.

If the information in this Application materially changes prior to the effective date of the Policy, the Applicant will immediately notify the Insurer, and the Insurer may modify or withdraw any quotation or agreement to bind insurance.

NOTICE TO ALABAMA APPLICANTS: "ANY PERSON WHO KNOWINGLY PRESENTS A FALSE OR FRAUDULENT CLAIM FOR PAYMENT OF A LOSS OR BENEFIT, OR KNOWINGLY PRESENTS FALSE INFORMATION IN AN APPLICATION FOR INSURANCE IS GUILTY OF A CRIME AND MAY BE SUBJECT TO RESTITUTION FINES OR CONFINEMENT IN PRISON, OR ANY COMBINATION THEREOF."

NOTICE TO ARKANSAS APPLICANTS: "ANY PERSON WHO KNOWINGLY PRESENTS A FALSE OR FRAUDULENT CLAIM FOR PAYMENT OF A LOSS OR BENEFIT, OR KNOWINGLY PRESENTS FALSE INFORMATION IN AN APPLICATION FOR INSURANCE IS GUILTY OF A CRIME AND MAY BE SUBJECT TO FINES AND CONFINEMENT IN PRISON."

NOTICE TO COLORADO APPLICANTS: "IT IS UNLAWFUL TO KNOWINGLY PROVIDE FALSE, INCOMPLETE, OR MISLEADING FACTS OR INFORMATION TO AN INSURANCE COMPANY FOR THE PURPOSE OF DEFRAUDING OR ATTEMPTING TO DEFRAUD THE COMPANY. PENALTIES MAY INCLUDE IMPRISONMENT, FINES, DENIAL OF INSURANCE AND CIVIL DAMAGES. ANY INSURANCE COMPANY OR AGENT OF AN INSURANCE COMPANY WHO KNOWINGLY PROVIDES FALSE, INCOMPLETE, OR MISLEADING FACTS OR INFORMATION TO A POLICYHOLDER OR CLAIMANT FOR THE PURPOSE OF DEFRAUDING OR ATTEMPTING TO DEFRAUD THE POLICYHOLDER OR CLAIMANT WITH REGARD TO A SETTLEMENT OR AWARD PAYABLE FROM INSURANCE PROCEEDS SHALL BE REPORTED TO THE COLORADO DIVISION OF INSURANCE WITHIN THE DEPARTMENT OF REGULATORY AGENCIES."

NOTICE TO DISTRICT OF COLUMBIA APPLICANTS: "WARNING: IT IS A CRIME TO PROVIDE FALSE OR MISLEADING INFORMATION TO AN INSURER FOR THE PURPOSE OF DEFRAUDING THE INSURER OR ANY OTHER PERSON. PENALTIES INCLUDE IMPRISONMENT AND/OR FINES. IN ADDITION, AN INSURER MAY DENY INSURANCE BENEFITS IF FALSE INFORMATION MATERIALLY RELATED TO A CLAIM WAS PROVIDED BY THE APPLICANT."

NOTICE TO FLORIDA APPLICANTS: "ANY PERSON WHO KNOWINGLY AND WITH INTENT TO INJURE, DEFRAUD, OR DECEIVE ANY INSURER FILES A STATEMENT OF CLAIM OR AN APPLICATION CONTAINING ANY FALSE, INCOMPLETE OR MISLEADING INFORMATION IS GUILTY OF A FELONY OF THE THIRD DEGREE."

NOTICE TO HAWAII APPLICANTS: "FOR YOUR PROTECTION, HAWAII LAW REQUIRES YOU TO BE INFORMED THAT PRESENTING A FRAUDULENT CLAIM FOR PAYMENT OF A LOSS OF BENEFIT IS A CRIME PUNISHABLE BY FINES OR IMPRISONMENT, OR BOTH."
NOTICE TO KENTUCKY APPLICANTS: "ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD ANY INSURANCE COMPANY OR OTHER PERSON FILES AN APPLICATION FOR INSURANCE CONTAINING ANY MATERIALLY FALSE INFORMATION OR CONCEALS, FOR THE PURPOSE OF MISLEADING, INFORMATION CONCERNING ANY FACT MATERIAL THERETO COMMITS A FRAUDULENT INSURANCE ACT, WHICH IS A CRIME."
NOTICE TO LOUISIANA APPLICANTS: "ANY PERSON WHO KNOWINGLY PRESENTS A FALSE OR FRAUDULENT CLAIM FOR PAYMENT OF A LOSS OR BENEFIT OR KNOWINGLY PRESENTS FALSE INFORMATION IN AN APPLICATION FOR INSURANCE IS GUILTY OF A CRIME AND MAY BE SUBJECT TO FINES AND CONFINEMENT IN PRISON."
NOTICE TO MAINE APPLICANTS: "IT IS A CRIME TO KNOWINGLY PROVIDE FALSE, INCOMPLETE OR MISLEADING INFORMATION TO AN INSURANCE COMPANY FOR THE PURPOSE OF DEFRAUDING THE COMPANY. PENALTIES MAY INCLUDE IMPRISONMENT, FINES OR A DENIAL OF INSURANCE BENEFITS."
NOTICE TO MARYLAND APPLICANTS: "ANY PERSON WHO KNOWINGLY OR WILLFULLY PRESENTS A FALSE OR FRAUDULENT CLAIM FOR PAYMENT OF A LOSS OR BENEFIT OR WHO KNOWINGLY OR WILLFULLY PRESENTS FALSE INFORMATION IN AN APPLICATION FOR INSURANCE IS GUILTY OF A CRIME AND MAY BE SUBJECT TO FINES AND CONFINEMENT IN PRISON."
NOTICE TO NEW JERSEY APPLICANTS: "ANY PERSON WHO INCLUDES ANY FALSE OR MISLEADING INFORMATION ON AN APPLICATION FOR AN INSURANCE POLICY IS SUBJECT TO CRIMINAL AND CIVIL PENALTIES." **NOTICE TO NEW MEXICO APPLICANTS:** "ANY PERSON WHO KNOWINGLY PRESENTS A FALSE OR FRAUDULENT CLAIM FOR PAYMENT OF A LOSS OR BENEFIT OR KNOWINGLY PRESENTS FALSE INFORMATION IN AN APPLICATION FOR INSURANCE IS GUILTY OF A CRIME AND MAY BE SUBJECT TO CIVIL FINES AND CRIMINAL PENALTIES."
NOTICE TO NEW YORK APPLICANTS: "ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD ANY INSURANCE COMPANY OR OTHER PERSON FILES AN APPLICATION FOR INSURANCE OR STATEMENT OF CLAIM CONTAINING ANY MATERIALLY FALSE INFORMATION, CONCEALS FOR THE PURPOSE OF MISLEADING, INFORMATION CONCERNING ANY FACT MATERIAL THERETO, COMMITS A FRAUDULENT INSURANCE ACT, WHICH IS A CRIME, AND SHALL ALSO BE SUBJECT TO A CIVIL PENALTY NOT TO EXCEED FIVE THOUSAND DOLLARS AND THE STATED VALUE OF THE CLAIM FOR EACH SUCH VIOLATION."
NOTICE TO OHIO APPLICANTS: "ANY PERSON WHO, WITH INTENT TO DEFRAUD OR KNOWING THAT HE IS FACILITATING A FRAUD AGAINST AN INSURER, SUBMITS AN APPLICATION OR FILES A CLAIM CONTAINING A FALSE OR DECEPTIVE STATEMENT IS GUILTY OF INSURANCE FRAUD."
NOTICE TO OKLAHOMA APPLICANTS: "WARNING: ANY PERSON WHO KNOWINGLY, AND WITH INTENT TO INJURE, DEFRAUD OR DECEIVE ANY INSURER, MAKES ANY CLAIM FOR THE PROCEEDS OF AN INSURANCE POLICY CONTAINING ANY FALSE, INCOMPLETE OR MISLEADING INFORMATION IS GUILTY OF A FELONY (365:15-1-10, 36 §3613.1)."
NOTICE TO OREGON APPLICANTS: "ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD OR SOLICIT ANOTHER TO DEFRAUD AN INSURER: (1) BY SUBMITTING AN APPLICATION, OR (2) BY FILING A CLAIM CONTAINING A FALSE STATEMENT AS TO ANY MATERIAL FACT, MAY BE VIOLATING STATE LAW."
NOTICE TO PENNSYLVANIA APPLICANTS: "ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD ANY INSURANCE COMPANY OR OTHER PERSON FILES AN APPLICATION FOR INSURANCE OR STATEMENT OF CLAIM CONTAINING ANY MATERIALLY FALSE INFORMATION OR CONCEALS FOR THE PURPOSE OF MISLEADING, INFORMATION CONCERNING ANY FACT MATERIAL THERETO COMMITS A FRAUDULENT INSURANCE ACT, WHICH IS A CRIME AND SUBJECTS SUCH PERSON TO CRIMINAL AND CIVIL PENALTIES."
NOTICE TO RHODE ISLAND APPLICANTS: "ANY PERSON WHO KNOWINGLY PRESENTS A FALSE OR FRAUDULENT CLAIM FOR PAYMENT OF A LOSS OR BENEFIT OR KNOWINGLY PRESENTS FALSE INFORMATION IN AN APPLICATION FOR INSURANCE IS GUILTY OF A OF A CRIME AND MAY BE SUBJECT TO FINES AND CONFINEMENT IN PRISON."
NOTICE TO TENNESSEE APPLICANTS: "IT IS A CRIME TO KNOWINGLY PROVIDE FALSE, INCOMPLETE OR MISLEADING INFORMATION TO AN INSURANCE COMPANY FOR THE PURPOSE OF DEFRAUDING THE COMPANY. PENALTIES INCLUDE IMPRISONMENT, FINES AND DENIAL OF INSURANCE BENEFITS."
NOTICE TO TEXAS APPLICANTS: "ANY PERSON WHO KNOWINGLY PRESENTS A FALSE OR FRAUDULENT CLAIM FOR THE PAYMENT OF A LOSS IS GUILTY OF A CRIME AND MAY BE SUBJECT TO FINES AND CONFINEMENT IN STATE PRISON."
NOTICE TO VERMONT APPLICANTS: "ANY PERSON WHO KNOWINGLY PRESENTS A FALSE STATEMENT IN AN APPLICATION FOR INSURANCE MAY BE GUILTY OF A CRIMINAL OFFENSE AND SUBJECT TO PENALTIES UNDER STATE LAW."
NOTICE TO VIRGINIA APPLICANTS: "IT IS A CRIME TO KNOWINGLY PROVIDE FALSE, INCOMPLETE OR MISLEADING INFORMATION TO AN INSURANCE COMPANY FOR THE PURPOSE OF DEFRAUDING THE COMPANY. PENALTIES INCLUDE IMPRISONMENT, FINES AND DENIAL OF INSURANCE BENEFITS."
NOTICE TO WASHINGTON APPLICANTS: "IT IS A CRIME TO KNOWINGLY PROVIDE FALSE, INCOMPLETE OR MISLEADING INFORMATION TO AN INSURANCE COMPANY FOR THE PURPOSE OF DEFRAUDING THE COMPANY. PENALTIES INCLUDE IMPRISONMENT, FINES AND DENIAL OF INSURANCE BENEFITS."
NOTICE TO WEST VIRGINIA: "ANY PERSON WHO KNOWINGLY PRESENTS A FALSE OR FRAUDULENT CLAIM FOR PAYMENT OF A LOSS OR BENEFIT OR KNOWINGLY PRESENTS FALSE INFORMATION IN AN APPLICATION FOR INSURANCE IS GUILTY OF A CRIME AND MAY BE SUBJECT TO FINES AND CONFINEMENT IN PRISON."
NOTICE TO ALL OTHER APPLICANTS: "IT IS A CRIME TO KNOWINGLY PROVIDE FALSE, INCOMPLETE OR MISLEADING INFORMATION TO AN INSURANCE COMPANY FOR THE PURPOSE OF DEFRAUDING THE COMPANY. PENALTIES MAY INCLUDE IMPRISONMENT, FINES OR A DENIAL OF INSURANCE BENEFITS."

VI. DECLARATION AND SIGNATURE

I understand that is it my obligation to maintain any license required in the jurisdictions in which I practice.

Date:_____ Signature:_____
(This application must be dated within 30 days of receipt) (APPLICANT / OWNER / PRESIDENT OF CORPORATION)

Title:_____

Application must be signed, dated, fully completed and accompanied by the premium to be considered.

Producer Signature:

Please make checks payable and mail to: American Professional Agency, Inc.
Program Administrator:
AMERICAN PROFESSIONAL AGENCY, INC.
95 Broadway, Amityville, NY 11701
(631) 691-6400 • (800) 421-6694
www.americanprofessional.com

Save form first on your computer before submitting.

Kansas Resident
Annual Health Care Stabilization Fund Application

(All requested information required. Incomplete applications will be returned.)

Section 1 - Health Care Provider Identification and Residency

Health Care Provider's Name: _____ _____ _____ _____
Last Name First Name MI Prof. Acronym

Or Business Entity/Hospital/Other Facility Name: _____

Date of Birth: _____/_____/_____ Daytime Phone Number: _____-_____-_____ HCP Email Address: _____

Legal Residence: _____ _____ _____ _____ _____
(Or facility legal address) Street address City State Zip Country if not U.S.

Mailing Address: _____ _____ _____ _____ _____
(If different from above) Street address City State Zip Country if not U.S.

Section 2 - Health Care Provider Credentials - Fund Coverage: $500,000/$1,500,000

Statutory credentials:

Kansas Licensing Agency: ☐ Board of Healing Arts ☐ Board of Nursing ☐ Business Entity/Hospital/Other Facility

Provider's Kansas License/Registration Number: _____ (include dashes/hyphens)

Section 3 – Insurance Policy and Information

Insurance Company *(The insurance carrier writing the professional liability policy.)*: _____

Insurance Policy Number: _____ Effective date: ____/____/_____ Expiration date: ____/____/_____

Type of Coverage: ☐ Claims Made ☐ Occurrence **(Occurrence Requirement: see pg. 2 instructions)**

Company Rep.: _____ Phone Number: _____-_____-_____ Email Address: _____

Section 4 – HCSF Surcharge Calculation (Rate table/MO modification factor pg.4 of instructions.)

Class Groups 1-14 (only complete applicable lines)

HCSF Classification Group Number: _____	Insurance Premium Amount **(required)**: $_____	Active MO license: ☐ No ☐ Yes	
Surcharge amount for HCSF Class Group Number above		=	$
Missouri active license modification factor, added additional 30%		=	$
Short-term policy, number of days (< 365 days) _____ ÷ 365 rounded to nearest whole percent.	_____ % x surcharge	=	$
Unique Circumstance **(part-time policy)** can be no less than 50% (see pg. 2 of instructions).	_____ % x surcharge	=	$

HCSF Premium Surcharge Paid = $_____

Class Groups 15-24 (only complete applicable lines)

(Percent based surcharges are calculated by the **individual** annual basic professional liability coverage.)

HCSF Classification Group Number: _____	Insurance Premium Amount: **(required)** below	Active MO license: ☐ No ☐ Yes	
Individual annual insurance premium paid $_____ x HCSF Class Group Number surcharge _____ % from table		=	$
Missouri active license modification factor, added additional 30%		=	$
(If short-term policy, the insurance premium paid above should be the prorated insurance premium amount.)			

HCSF Premium Surcharge Paid = $_____

NOTE: The Minimum surcharge fee is $200.00 All surcharge payments must be rounded to the nearest whole dollar amount. (*The minimum surcharge fee applies to **all** Fund compliance periods, including short-term policies and surcharge refund adjustments due to mid-term cancellation or termination of existing compliance periods.)*

For insurer explanation of (e.g. locum, part-time etc...)	**HCSF USE ONLY**

Health Care Stabilization Fund
300 SW 8th Ave, 2nd Floor Topeka, KS 66603
Phone: (785) 291-3777: Fax: (785) 291-3550: Email: hcsf@ks.gov
Revised 11/4/2024

Non-Resident
Annual Health Care Stabilization Fund Application

(All requested information required. Incomplete applications will be returned.)

Section 1 - Health Care Provider Identification and Residency

Health Care Provider's Name: _____ _____ _____ _____
Last Name First Name MI Prof. Acronym

Date of Birth: _____/_____/_____ Daytime Phone Number: _____-_____-_____ Email Address: _____

Legal Residence: _____ _____ _____ _____ _____
(Cannot be a Kansas address) Street Address City State Zip Country if not U.S.

Mailing Address: _____ _____ _____ _____ _____
(If different from residence) Street Address City State Zip Country if not U.S.

Section 2 - Health Care Provider Credentials - Fund Coverage: $500,000/$1,500,000

Statutory Credentials:

Kansas Licensing Agency: ☐ Board of Healing Arts ☐ Board of Nursing

Professional Specialty: _____ Kansas License Number: _____ (include dashes/hyphens)

Section 3 – Insurance Policy and Information (current certificate of insurance **required** with providers name listed)

Insurance Company *(The insurance carrier writing the professional liability policy.)*: _____

Insurance Policy Number: _____ Effective Date: _____/_____/_____Expiration Date: _____/_____/_____

Type of Coverage: ☐ Claims Made ☐ Occurrence (**Occurrence Requirement:** must have a locum tenens contract and work less than 182 days)

Section 4 – HCSF Surcharge Calculation (rate table pg.4 of instructions)

HCSF Classification Group Number: _____

Class Groups 1-14: Surcharge amount for Class Group Number: $_____

If short-term policy, prorate surcharge **above** based on the number of days divided by 365 rounded to the nearest whole percent = ____% = $_____

Percent of KS practice (if not rendering services in/for KS must enter "0") ____ % multiplied by surcharge calculated **above** per class number = $_____

Class Groups 21-22: Individual annual insurance premium paid $_____ multiplied by HCSF surcharge _____ % rate from table = $_____

If short-term policy, the insurance premium paid **above** should be the **prorated** insurance premium amount.

Percent of KS practice (if not rendering services in/for KS must enter "0") ____% multiplied by surcharge calculated **above** per class number = $_____

HCSF Premium Surcharge Paid $ _____NOTE: **Minimum surcharge $200.00.** All surcharge payments must be rounded to the nearest whole dollar amount. (*The minimum surcharge applies to **all** Fund compliance periods, including short-term policies and surcharge refund adjustments due to mid-term cancellation or termination of existing compliance periods.)*

Section 5 – Health Care Provider's Certification:

I hereby certify that:(1) I am maintaining a policy of professional liability insurance with limits of not less than $500,000 per claim and $1,500,000 annual aggregate coverage in accordance with the Kansas Health Care Provider Insurance Availability Act, (2) The above information is true and correct to the best of my knowledge, and (3) I will notify the HCSF Board of Governors in the event of any changes in my professional liability insurance coverage.

Signature (digital signatures are accepted): _____ Date: _____/_____/_____

Person submitting application if not provider:

_____ _____ _____-_____-_____ _____
First Name Last Name Phone Number Email Address

Any additional information/explanation regarding application:	**HCSF USE ONLY**

Revised 9/23/2024

Health Care Stabilization Fund
300 SW 8th Ave, 2nd Floor, Topeka, KS 66603
Phone: (785) 291-3777; Fax: (785) 291-3550; Email: hcsf@ks.gov

ADDENDUM TO APPLICATION

Name of Applicant: _____

If you have answered YES to Question #12a of the application, please complete the following questions:

1. Please list the institution(s) and indicate the hours you practice at each:

Institution Name	Number of Hours

2. Please describe the nature of work done at each facility:

   _____

   _____

   _____

3. Are you doing in-patient work? _____Yes _____No
   If yes, are you treating your own patients or the facility's patients?

   _____

   If they are the facility's patients and they are assigned to you, are you the only treating psychiatrist while they are at the facility? _____Yes _____No
   If no, please explain_____

   _____

Please note: If the facility covers you for your work, it will be excluded from coverage. If you are not covered by the facility, it is possible that a debit may be applied to cover you for the additional exposure you have. This would apply especially in the case where you are not the only treating psychiatrist for the patients to whom you are assigned.

American Professional Agency, Inc.
95 Broadway, Amityville, NY 11701
(631) 691-6400 – (800) 421-6694

CLAIM ACTIVITY

Be sure to answer all question fully, leave no blanks.

a) Name of claimant or plaintiff:_____
(Last) (first) (Middle)

Age:_____ Sex:_____ Marital Status:_____

b) Date of alleged incident:_____

c) Location of incident (Hospital, office, clinic, etc.) :_____

d) Issue or type of injury claimed: - What was the objective issue contested in this claim ?

Injury: ☐Emotional Only ☐Cosmetic ☐Temporary Disability ☐Permanent Disability ☐Death

Diagnosis:_____

Prognosis:_____

Prior Treating Physicians:_____

Subsequent Treating Physicians:_____

_____

e) Were other physicians or hospitals involved as co-defendants ? ○No ○Yes Please list names: _____

_____

f) Name of insurance company defending you: _____

g) Was claim or suit: ☐actually brought against you ☐merely threatened, or ☐limited to claimants attorney contact?

h) Disposition of claim:

☐Abandoned (no activity over 3 years)

☐Won by defense

☐Judgement or verdict vs. co-defendant(s) only

☐Settled ☐won by claimant. If so, how much was paid on your behalf? _____

_____

☐Open (State Current Status) _____

Narrative Description of Incident _____

_____

_____

Please photocopy this form and supply us with separate information for each claim, suit or incident.
CAP-SUP (6/00)

QUARTERLY BILLING FORM

PLEASE READ THE FOLLOWING INFORMATION CONCERNING OUR QUARTERLY BILLING PROCEDURE

The following procedures will apply for accounts with premium exceeding $1,000 annually, that wish to pay in quarterly installments:

1. A bill will be issued to you 45 days prior to the due date for each quarterly payment.

2. Since we are required to give you advanced notice that your coverage will lapse if payment is not received, a notice will be sent stating that if payment is not received your policy will be cancelled on the date indicated on the cancellation notice. This is required state insurance regulations and also serves as a reminder that payment has not been received.

3. If a notice of cancellation is sent out and payment is then received prior to the cancellation date, a letter voiding out the cancellation will be provided to you.

I wish to make my payments in installments. I have remitted 35% of the annual premium.

I understand that I will be billed for the remaining quarters and a $5.00 service charge will be included in each quarterly bill. A $20.00 service charge will be assessed to each quarterly payment which is returned for uncollected funds.

E-mail address: _____

Signature: _____ Date:_____

IMPORTANT SURCHARGE INFORMATION
Allied World Insurance Company

NOTICE TO FLORIDA RESIDENTS:

The Florida Insurance Guaranty Association requires insurance companies to charge all policies written for its residents a surcharge of 1%. Please include this additional premium when remitting your premium.

NOTICE TO KENTUCKY RESIDENTS:

Kentucky law requires insurance companies to charge all policies written for its residents a surcharge of 1.8%. Depending on your profession, we may be required to assess your policy with a municipality tax which is based on the location of your residence. Please include this additional premium when remitting your premium.

NOTICE TO MAINE RESIDENTS:

The Rural Medical Access Program requires insurance companies to charge physicians, hospitals, and physicians' employers who are insured for professional liability through a licensed insurer to pay the assessment of .4% to the insurer upon the insurers' premium billing. This charge applies to policyholders who are Psychiatrists, Psychiatric NPs, Physician Assistants, Neurologists, Nurse Practitioners, APRNs, and CNSs with prescriptive authority.

NOTICE TO NEW JERSEY RESIDENTS:

The New Jersey Property and Liability Insurance Guaranty Association requires insurance companies to charge all policies written for its residents a surcharge of .3%. Please include this additional premium when remitting your premium.

NOTICE TO WEST VIRGINIA RESIDENTS:

West Virginia law requires insurance companies to charge all policies written for its residents a surcharge of .55%. Please include this additional premium when remitting your premium.