



Allied World Insurance Company ("Insurer")

FOR OFFICE USE ONLY

PREMIUM:
RATED BY:
EFFECTIVE DATE:
RETRO DATE:
REFUND AMOUNT DUE:

Return and make checks payable to:
American Professional Agency, Inc.
95 Broadway, Amityville, NY 11701
(631) 691-6400 • (800) 421-6694

RENEWAL APPLICATION FOR PSYCHIATRIC NURSE PRACTITIONER/PHYSICIAN
ASSISTANT PROFESSIONAL/ADVANCE PRACTICE REGISTERED NURSE PROFESSIONAL AND
BUSINESS LIABILITY INSURANCE COVERAGE

Offered through the Professional Counselors Purchasing Group, Inc.

Notice to Florida Applicants:
License # L045052 issued to Peter Imbert

Notice to Iowa Applicants:
License # 3000928232 issued to Peter Imbert

Notice to California Applicants:
License #0555091 issued to American Professional Agency, Inc.

THIS APPLICATION IS FOR COVERAGE TYPE: [] CLAIMS-MADE [] OCCURRENCE-BASED

NOTICE: THE COVERAGE OF A CLAIMS-MADE POLICY IS LIMITED GENERALLY TO LIABILITY FOR ONLY
THOSE CLAIMS THAT ARE FIRST MADE AGAINST THE INSURED, OR PROCEEDINGS FIRST BROUGHT,
DURING THE POLICY PERIOD OR ANY EXTENDED REPORTING PERIOD, AND REPORTED IN WRITING TO
THE INSURER IN ACCORDANCE WITH THE TERMS OF THE POLICY. PLEASE REVIEW THE POLICY
CAREFULLY AND DISCUSS THE COVERAGE THEREUNDER WITH YOUR LEGAL OR INSURANCE
ADVISOR.

NOTICE: A LOWER LIMIT OF LIABILITY APPLIES TO JUDGMENTS OR SETTLEMENTS WHEN THERE ARE
ALLEGATIONS OF SEXUAL MISCONDUCT (SEE SECTION V. (C). "MAXIMUM LIMIT OF LIABILITY -
SEXUAL MISCONDUCT" IN THE POLICY).

- This Application must be completed in full, including all required attachments. Write "None" if that applies.
Attach a separate sheet of paper if more space is needed to answer any question.
We treat all Applications as confidential. If additional assurances of confidentiality are required, we are willing to address the
Applicant's needs.

PLEASE READ THE ENTIRE APPLICATION CAREFULLY BEFORE SIGNING.

I. GENERAL INFORMATION

1. a. Name of Applicant _____ Policy No.: _____

E-mail address: _____

b. Professional Designation (check one): [] Nurse Practitioner [] Physician Assistant
[] Advance Practice Registered Nurse

II. APPLICANT INFORMATION

2. a. Principal Office Address: _____

(City) (County) (State) (Zip)

Entity and/or Facility Name: _____

Note: If you have been practicing at this location fewer than 3 years, please provide us with your previous location on a separate sheet of paper and the length of time at that location.

b. Any Other Office Address: _____

(City) (County) (State) (Zip)
Entity and/or Facility Name: _____

c. Home Address: _____

(City) (County) (State) (Zip)

d. If you are practicing in multiple locations which are located in different counties and/or states, please provide a percentage of time spent in each location.

3. To which of these addresses do you wish correspondence sent? 2a 2b 2c

4. Office Telephone: () _____ Fax #: () _____ Home Telephone: () _____

5. a. Change in Policy Limits Requested? _____ / _____

b. Are you interested in obtaining limits higher than \$5,000 for defense expenses related to licensing board investigations and other proceedings as described in the Policy? Yes No

If yes, choose higher limit of liability desired for defense expenses related to licensing board investigations and other proceedings as described in the Policy:

- \$10,000 (Additional Premium \$75) \$25,000 (Additional Premium \$95) \$50,000 (Additional Premium \$110)
 \$75,000 (Additional Premium \$171) \$100,000 (Additional Premium \$232) \$125,000 (Additional Premium \$293)
 \$150,000 (Additional Premium \$354)

Please include the additional premium indicated with your premium payment.

III. PRACTICE INFORMATION

6. Have any of your responses to Questions 7 through 16 below changed since your completion of the prior application for this coverage? Yes No

If yes, please respond to Questions 7 through 16 below.

If no, please go directly to Section IV. of this Application.

7. a. Name of physician or clinic you will be working for: _____

b. Policy No.: _____ Name of supervising physician: _____

c. Is your supervising physician a psychiatrist? Yes No

8. Desired effective date of coverage: _____ Number of hours worked per week: _____

9. Practice address: _____
(street) (city) (state) (zip) (phone)

Entity and/or Facility Name: _____

10. Will you be working at the same location as your supervising physician? Yes No

If no, where will you be working? _____

11. Do you have a written collaboration agreement with your supervising physician? Yes No

How often will your charts be reviewed? _____

12. Do you have written protocols? Yes No

13. a. List your name and qualifications.

Name	Degree	Field of Study	Professional Association Membership		Number of hours practice each week	License or Certification			
			Association name	Membership Level		First Year Licensed	State	Title	Board Certified? Yes/No

b. Please attach a copy of your Curriculum Vitae (C.V.).

14. PRACTICE PROFILE

a. Composition of your practice: Children/Adolescents/Related Adults _____% Prisoners _____%

Adults (not related to above) _____% Sex Offenders _____% Custody Evaluation _____%

If your practice includes prisoners, is this a correctional facility? Yes No

If yes, is insurance coverage provided for these activities by such facility? Yes No

b. Do you have admitting privileges? Yes No

If no, please describe your mechanism for handling your patients who may require immediate in-patient care:

c. Do you create and maintain a psychiatric/medical record for each patient under your care? Yes No

If no, please explain: _____

d. Do you have prescriptive authority? Yes No If yes, what Schedule? _____

If no, please provide the name and clinical specialty of the physician who will write prescriptions:

e. Do you obtain an informed consent, whether signed by the patient or noted in the chart, before prescribing medication? Yes No

f. Do you regularly treat general medical conditions presented by your psychiatric patients? Yes No

If yes, please indicate: (1) Average number of patients per week you provide treatment to: _____

(2) Nature of the conditions you treat and the type of treatment you provide: _____

g. Do you advertise as a specialist* in the evaluation and treatment of any of the following?

- Borderline Personality Disorder Chronic Pain Multiple Personality Disorder or Dissociative Disorders
 Childhood Sexual Abuse Eating Disorder Sex Therapy

***Note:** "Specialist" is indicated by 1) advertisements, 2) marketing materials, 3) letterhead, or 4) employment, contractual relationship or admitting privileges at any institution with a special interest in any of the above.

- h. Does your treatment include use of abreaction, rage, sodium amytal, sex or recovered memory therapies? Yes No

If yes, please explain the clinical details regarding this treatment. _____

- i. Does your practice include forensic activities, e.g. child custody and visitation, criminal responsibility; competence, civil and criminal; correctional psychiatry; juvenile justice and violence? Yes No

What is the percent of your total practice time devoted to this activity? _____%

On a separate sheet, please explain the exact type of forensic activities.

- j. Do you communicate with your patients via e-mail? Yes No

Please explain the nature of communications in detail. _____

- k. Does your practice include telemedicine activities, e.g. the transfer of data through electronic (video or computer) means in order to provide healthcare to patients who are geographically separated from the clinicians involved? Yes No

What is the total practice time devoted to this activity? _____%

On a separate sheet, please explain the exact type of telemedicine activities.

- l. Do you engage in any clinical trials and/or pharmaceutical research? Yes No

If yes, does the sponsor agree in writing to indemnify you for such research activities? _____

(Please include a copy of these indemnification agreements.)

If no, please explain type and extent of such activities: _____

- m. Do you treat patients with unconventional therapy, i.e. treatment not considered to be mainstream psychiatric treatment? Yes No

If yes, please describe: _____

- n. Do you cover any ER for crisis cover? Yes No

If yes, please indicate percentage of time devoted to this activity: _____%

Is this on call? Yes No

If yes, approximately how many hours per week? _____

15. a. Are you engaged in self-employment, paid consultation or private practice? Yes No

- b. Are you employed (W2 form employee)? Yes No

If yes, employed by: _____

- c. Are you a salaried employee of any organization other than the firm listed in Question 2a? Yes No

If yes, please explain: _____

- d. Do you own, partly own, manage or exercise any form of fiduciary control over any business enterprise or medical

practice?

Yes No

If yes,:

i. Please explain the nature of the enterprise. _____

ii. Please provide a count of employees by type. _____

16. a. Are you on the staff of, or affiliated with, any hospital, clinic, group home or nursing home? Yes No

If yes, please list institution, nature of work and hours per week. _____

b. Are you provided malpractice coverage by a facility or place of employment, or any other policy that covers you?

Yes No

If yes, please indicate location of the facility or place of employment and limits provided. _____

c. Do you have any direct or indirect financial interest in any hospital, pharmacy, diagnostic or therapeutic laboratory, nursing home, health service or any healthcare service to which you refer your patients?

Yes No

If yes, please specify and fully explain. _____

IV. PRIOR COVERAGE HISTORY

17. a. Do you currently carry your own separate, professional liability policy? Yes No

If yes, please include a copy of that declarations page with the completed application form.

Name of present carrier: _____

Number of years: _____

If less than 5 years, please list previous carrier as well: _____

b. Type of policy (if known): Occurrence Claims-made

c. Limits of present coverage: _____/_____

d. If prior professional liability insurance was on a claims-made basis, indicate the retroactive date of the coverage: (Date after which wrongful acts are covered.) ___ / ___ / ____

e. If you selected Claims-made in Question 12 b., please check the appropriate box below:

i. The Extended Reporting Period Endorsement has been purchased on my prior policy. Yes No

If yes, please indicate the name of prior carrier: _____

ii. Prior Acts Coverage is requested on my new Claims-made policy. Yes No

If yes, please indicate Retroactive Date desired: ___ / ___ / ____

(Please submit Declarations page for all individuals listed in Question 6.)

f. If you answered No to Questions 17 e. i. and ii., please review the statement and check the box below:

I understand that I elected not to purchase the Extended Reporting Period Endorsement on my prior Claims-made policy, and I also have elected not to purchase the Prior Acts Coverage on my new Claims-made policy.

I understand that I will be uninsured for the period in which my prior Claims-made policy existed. Furthermore, I

understand that because of this there will be a gap in my insurance coverage.

VI. REPRESENTATIONS

18. a. Have you ever been convicted of a crime in any state or country? Yes No

If yes, please give full particulars in order for your Application to be considered. _____

b. Have you ever had any licensing board or professional ethics body require the surrender of a license or found you guilty of a violation of ethics codes, professional misconduct, unprofessional conduct incompetence or negligence in any state or country? Yes No

If yes, please give full particulars and provide copies of charges, correspondence and any findings in order for your Application to be considered. _____

g. Are there any complaints, charges or investigations pending against you by a licensing board or professional ethics body for violation of ethics codes, professional misconduct, unprofessional conduct, incompetence, or negligence in any state or country? Yes No

If yes, please give full particulars and copies of charges, correspondence and any findings in order for your Application to be considered. _____

h. Have you ever had any insurance company or Lloyd's decline, cancel, refuse to renew, or accept only on special terms any professional liability insurance? Yes No

If yes, please give full particulars in order for your Application to be considered. _____

i. Has any professional liability claim or suit ever been made against you? Yes No

If yes, please give full particulars and copies of any summons and complaints, pertinent correspondence and outcome, if any, in order for your Application to be considered.

j. Are there any circumstances, including any loss of private or confidential information, which you are aware of that may result in any professional liability claim or suit being made against you? Yes No

If yes, please give full particulars in order for your Application to be considered. _____

- k. Have you engaged in or ever been engaged in any sexual misconduct* with any of your current or former patients or any current or former patient's spouse or any person with a direct relationship to the current or former patient (for example a guardian, blood relative of the patient or spouse or any person sharing the patient's domicile)? Yes No

(*“Sexual misconduct” means any actual or alleged erotic physical contact or attempt, threat or proposal thereof.)

If yes, please give full particulars in order for your Application to be considered.

- l. Have you ever had any hospital restrict or revoke privileges or invoke probation for any cause? Yes No

If yes, please give full particulars in order for your Application to be considered.

- m. Have you ever been suspended, restricted, or put on probation by any governmental health program (i.e. Medicare or Medicaid)? Yes No

If yes, please give full particulars in order for your Application to be considered.

- n. Are you now being, or have you ever been, treated for a serious health problem that did or can impair your ability to treat patients? Yes No

If yes, please give full particulars in order for your Application to be considered.

VII. NOTICES TO APPLICANT & FRAUD WARNINGS

The undersigned, as authorized agent of all individuals and entities proposed for this insurance, represents that, to the best of his/her knowledge and belief, after diligent inquiry, the statements in this Application and any attachments or information submitted to or obtained by the Insurer in connection with this Application (together referred to as the “Application”) are true and complete.

The information in this Application is material to the risk accepted by the Insurer. If a policy is issued it will be in reliance by the Insurer upon the Application, and the Application will be the basis of the contract. The Application is on

file with the Insurer, and shall be deemed to be attached to, and made a part of, and incorporated into the Policy, if issued.

The Insurer is authorized to make any inquiry in connection with this Application. The Insurer's acceptance of this Application or the making of any subsequent inquiry does not bind the Applicant or the Insurer to complete the insurance or issue a policy.

If the information in this Application materially changes prior to the effective date of the Policy, the Applicant will immediately notify the Insurer, and the Insurer may modify or withdraw any quotation or agreement to bind insurance.

VIII. DECLARATION AND SIGNATURE

I understand that it is my obligation to maintain any license required in the jurisdictions in which I practice.

NOTICE TO NEW YORK APPLICANTS: "ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD ANY INSURANCE COMPANY OR OTHER PERSON FILES AN APPLICATION FOR INSURANCE OR STATEMENT OF CLAIM CONTAINING ANY MATERIALLY FALSE INFORMATION, CONCEALS FOR THE PURPOSE OF MISLEADING, INFORMATION CONCERNING ANY FACT MATERIAL THERETO, COMMITS A FRAUDULENT INSURANCE ACT, WHICH IS A CRIME, AND SHALL ALSO BE SUBJECT TO A CIVIL PENALTY NOT TO EXCEED FIVE THOUSAND DOLLARS AND THE STATED VALUE OF THE CLAIM FOR EACH SUCH VIOLATION."

Signature: _____
(APPLICANT / OWNER / PRESIDENT OF CORPORATION)

Date: _____ Title: _____
(This application must be dated within 30 days of receipt)

Application must be signed, dated, fully completed and accompanied by the premium to be considered.

Please make checks payable and mail to: American Professional Agency, Inc.

Program Administrator:
AMERICAN PROFESSIONAL AGENCY, INC.
95 Broadway, Amityville, NY 11701
(631) 691-6400 • (800) 421-6694
www.americanprofessional.com



Producer Signature

Save form first on your computer before submitting.

ADDENDUM TO APPLICATION

Name of Applicant: _____

If you have answered YES to Question #12a of the application, please complete the following questions:

1. Please list the institution(s) and indicate the hours you practice at each:

Institution Name	Number of Hours

2. Please describe the nature of work done at each facility:

3. Are you doing in-patient work? Yes No
If yes, are you treating your own patients or the facility's patients?

If they are the facility's patients and they are assigned to you, are you the only treating psychiatrist while they are at the facility? Yes No
If no, please explain _____

Please note: If the facility covers you for your work, it will be excluded from coverage. If you are not covered by the facility, it is possible that a debit may be applied to cover you for the additional exposure you have. This would apply especially in the case where you are not the only treating psychiatrist for the patients to whom you are assigned.

CLAIM ACTIVITY

Be sure to answer all question fully, leave no blanks.

a) Name of claimant or plaintiff: _____
(Last) (first) (Middle)

Age: _____ Sex: _____ Marital Status: _____

b) Date of alleged incident: _____

c) Location of incident (Hospital, office, clinic, etc.) : _____

d) Issue or type of injury claimed: - What was the objective issue contested in this claim ?

Injury: Emotional Only Cosmetic Temporary Disability Permanent Disability Death

Diagnosis: _____

Prognosis: _____

Prior Treating Physicians: _____

Subsequent Treating Physicians: _____

e) Were other physicians or hospitals involved as co-defendants ? No Yes Please list names: _____

f) Name of insurance company defending you: _____

g) Was claim or suit: actually brought against you merely threatened, or limited to claimants attorney contact?

h) Disposition of claim:

Abandoned (no activity over 3 years)

Won by defense

Judgement or verdict vs. co-defendant(s) only

Settled won by claimant. If so, how much was paid on your behalf? _____

Open (State Current Status) _____

Narrative Description of Incident _____

QUARTERLY BILLING FORM

PLEASE READ THE FOLLOWING INFORMATION CONCERNING OUR QUARTERLY BILLING PROCEDURE

The following procedures will apply for accounts with premium exceeding \$1,000 annually, that wish to pay in quarterly installments:

1. A bill will be issued to you 45 days prior to the due date for each quarterly payment.
2. Since we are required to give you advanced notice that your coverage will lapse if payment is not received, a notice will be sent stating that if payment is not received your policy will be cancelled on the date indicated on the cancellation notice. This is required state insurance regulations and also serves as a reminder that payment has not been received.
3. If a notice of cancellation is sent out and payment is then received prior to the cancellation date, a letter voiding out the cancellation will be provided to you.

I wish to make my payments in installments. I have remitted 35% of the annual premium.

I understand that I will be billed for the remaining quarters and a \$5.00 service charge will be included in each quarterly bill. A \$20.00 service charge will be assessed to each quarterly payment which is returned for uncollected funds.

E-mail address: _____

Signature: _____ Date: _____

IMPORTANT SURCHARGE INFORMATION

Allied World Insurance Company

NOTICE TO FLORIDA RESIDENTS:

The Florida Insurance Guaranty Association requires insurance companies to charge all policies written for its residents a surcharge of 1%. Please include this additional premium when remitting your premium.

NOTICE TO KENTUCKY RESIDENTS:

Kentucky law requires insurance companies to charge all policies written for its residents a surcharge of 1.8%. Depending on your profession, we may be required to assess your policy with a municipality tax which is based on the location of your residence. Please include this additional premium when remitting your premium.

NOTICE TO MAINE RESIDENTS:

The Rural Medical Access Program requires insurance companies to charge physicians, hospitals, and physicians' employers who are insured for professional liability through a licensed insurer to pay the assessment of .4% to the insurer upon the insurers' premium billing. This charge applies to policyholders who are Psychiatrists, Psychiatric NPs, Physician Assistants, Neurologists, Nurse Practitioners, APRNs, and CNSs with prescriptive authority.

NOTICE TO NEW JERSEY RESIDENTS:

The New Jersey Property and Liability Insurance Guaranty Association requires insurance companies to charge all policies written for its residents a surcharge of .3%. Please include this additional premium when remitting your premium.

NOTICE TO WEST VIRGINIA RESIDENTS:

West Virginia law requires insurance companies to charge all policies written for its residents a surcharge of .55%. Please include this additional premium when remitting your premium.