

FOR OFFICE USE ONLY

PREMIUM:

RATED BY:

EFFECTIVE DATE:

RETRO DATE:

REFUND AMOUNT DUE:

Allied World Insurance Company ("Insurer")

Return and make checks payable to: American Professional Agency, Inc. 95 Broadway, Amityville, NY 11701 (631) 691-6400 • (800) 421-6694

Account #_____

RENEWAL APPLICATION

FOR MENTAL HEALTH COUNSELORS'AND MARRIAGE AND FAMILY THERAPISTS' PROFESSIONAL AND BUSINESS LIABILITY INSURANCE COVERAGE

(631) 691-6400 • (800) 421-6694

Offered through the Professional Counselors Purchasing Group, Inc.

Notice to Florida Applicants: License # L045052 issued to Peter Imbert

Notice to Iowa Applicants:

License # 3000928232 issued to Peter Imbert

Notice to California Applicants:

License #0555091 issued to American Professional Agency, Inc.

NOTICE: THE COVERAGE OF A CLAIMS-MADE POLICY IS LIMITED GENERALLY TO LIABILITY FOR ONLY THOSE CLAIMS THAT ARE FIRST MADE AGAINST THE INSURED, OR PROCEEDINGS FIRST BROUGHT, DURING THE POLICY PERIOD, AND REPORTED IN WRITING TO THE INSURER IN ACCORDANCE WITH THE TERMS OF THE POLICY. PLEASE REVIEW THE POLICY CAREFULLY AND DISCUSS THE COVERAGE THEREUNDER WITH YOUR LEGAL OR INSURANCE ADVISOR.

NOTICE: A LOWER LIMIT OF LIABILITY APPLIES TO JUDGMENTS OR SETTLEMENTS WHEN THERE ARE ALLEGATIONS OF SEXUAL MISCONDUCT (SEE SECTION V. (C), "MAXIMUM LIMIT OF LIABILITY - SEXUAL MISCONDUCT" IN THE POLICY).

- This Application must be completed in full, including all required attachments. Write "None" if that applies.
- Attach a separate sheet of paper if more space is needed to answer any question.
- We treat all Applications as confidential. If additional assurances of confidentiality are required, we are willing to address the Applicant's needs.

PLEASE READ THE ENTIRE APPLICATION CAREFULLY BEFORE SIGNING.

GENERAL INFORMATION 1. (a) Name of Applicant: Date of Birth: Office Telephone: () Fax Number :()	License No.: E-mail address: Home Telephone: ()				
(b) Coverage desired (check one):	_				
	Professional Corporation (Incorporated as a P.C. or P.A.) LLC/LLP Nonprofit Other (Please explain)				
(If you are unsure of your corporate status, ple	ase check your Articles of Incorporation or other business documents.)				
If you have checked anything other than "Individual" above, the following MUST BE INCLUDED: (1) a copy of articles of incorporation; (2) a letter describing all services provided; (3) any brochures if available; and (4) a listing of owners and/or partners, indicating the percentage of the business owned by each.					

HAVE ANY OF YOUR RESPONSES TO QUESTIONS 2 OR 3 BELOW CHANGED SINCE THE COMPLETION OF YOUR LAST APPLICATION WITH THE INSURER FOR THIS COVERAGE? IF YES, PLEASE RESPOND TO THOSE QUESTIONS WITH YOUR

II. APPLICANT INFORMATION

Ma	iling Address:								
	(City)	(County)	2)	State)		(Zip code))		
(a)	Policy Limits Requested (c \$200,000/600,000 \$1,000,000/4,000,000	\$500 ,	000/1,000,00		1,000,000/1,000,0 2,000,000/2,000,0				
	The <u>first</u> Limit of Liability or related wrongful acts, as Insurer is liable for.								
(b)	Are you interested in obtain proceedings as described in			5,000 for	defense expenses		censing board i	nvestigations a	nd other
	If yes, choose the higher li- described in the Policy:	mit of liabil	ity desired fo	r defense	expenses related	to licensing	board investiga	ations and other	proceeding
	□ \$2	5,000		□ \$50	0,000		\$75,000		
	\$1	00,000		\$1 2	25,000		\$150,000		
	ANY OF WOLD DESPON		TIEGETONG	4 (1)	MIGHT 14 PET 0		NED OBJOE	HE COLERY	TON OF
E /	ANY OF YOUR RESPON APPLICATION WITH TH CHANGES. IF NOT, PLE	SES TO Q E INSURE	R FOR TH	S COVE	OUGH 12 BELO RAGE? IF YES	W CHANG , PLEASE	GED SINCE T RESPOND T	HE COMPLE O THOSE QU	TION OF JESTIONS
E A	ANY OF YOUR RESPON APPLICATION WITH TH	SES TO Q E INSURE ASE SKIP	R FOR THI TO SECTIO	S COVE ON IV.	RAGE? IF YES	s, PLEASE	RESPOND T	O THOSE QU	JESTIONS
E A	ANY OF YOUR RESPON APPLICATION WITH TH CHANGES. IF NOT, PLE Please check the correct to boxes that pertain to all p Group 1- School Group 2 - Emplo	SES TO Q E INSURE ASE SKIP DOX for your professional Counselor DOY COUNSE	R FOR THI TO SECTION r rating grounds.	IS COVE ON IV. up. If you	RAGE? IF YES	r corporate Group 5	RESPOND T	O THOSE QU	JESTIONS
E A	ANY OF YOUR RESPON APPLICATION WITH TH CHANGES. IF NOT, PLE Please check the correct to boxes that pertain to all p Group 1- School Group 2 - Emplo	SES TO Q E INSURE ASE SKIP DOX for your professional Counselor Dyed Counse ge and Fami Level-Employ & Pastoral	r rating grounds. elor/Employeeily Therapist byed Counselor Counselor	S COVE ON IV. ip. If you	RAGE? IF YES	Group 5 Group 5 Group 7 Group 8	e or partnershi - Certified Hyp - Sex Counseld - Psychoanaly - Addiction Co - Self Employe	on those Question coverage, place on the coverage of the cover	JESTIONS ease check t
Ε Δ Γ Α R (ANY OF YOUR RESPON APPLICATION WITH TH CHANGES. IF NOT, PLE Please check the correct to boxes that pertain to all p Group 1- School Group 2 - Emplo Marria Group 3 - B.A. I Group 4 - Clergy Group 5 - Self-E	SES TO Q E INSURE ASE SKIP DOX for your professional Counselor Dyed Counse ge and Fami Level-Employ & Pastoral Employed Co	r rating grounds. elor/Employe ily Therapist by d Counselor counselor	S COVE ON IV. ip. If you d	RAGE? IF YES	Group 5 Group 5 Group 7 Group 8 Group 0	e or partnershi - Certified Hyp - Sex Counseld - Psychoanaly - Addiction Co - Self Employe Therapist	on those Question coverage, place on the coverage, place on the coverage of th	JESTIONS ease check t
E A R (ANY OF YOUR RESPON APPLICATION WITH TH CHANGES. IF NOT, PLE Please check the correct to boxes that pertain to all p Group 1- School Group 2 - Emplo Marria Group 3 - B.A. I Group 4 - Clergy Group 5 - Self-E I understand List your name and qualifityou are applying for a pa	SES TO Q E INSURE ASE SKIP Dox for your professional Counselor by de Counse ge and Fam Level-Employ & Pastoral Employed Co that if I qualications. In rtnership po	r rating grounds. elor/Employee ily Therapist byed Counselor counselor counselor alify under (addition, list blicy, please	d or Groups 1-the name list all pa	are applying for are applying for a are applying for a state of the st	Group 5 Group 5 Group 7 Group 8 Group 0 exclude co	e or partnershi - Certified Hyp - Sex Counseld - Psychoanalys - Addiction Co - Self Employe Therapist our salaried (Was separate shee	on those Question of the coverage, plants or sets of Marriage and wate practice. 2) employees, set of paper if a	ease check the Family except cleric dditional sp
E A R (ANY OF YOUR RESPON APPLICATION WITH TH CHANGES. IF NOT, PLE Please check the correct to boxes that pertain to all p Group 1- School Group 2 - Emplot Marria Group 3 - B.A. I Group 4 - Clergy Group 5 - Self-E I understand List your name and qualify you are applying for a par required. Please include the	SES TO Q E INSURE ASE SKIP Dox for your professional Counselor by de Counse ge and Fam Level-Employ & Pastoral Employed Co that if I qualications. In rtnership po	r rating grounds. elor/Employee ily Therapist byed Counselor counselor counselor alify under (addition, list blicy, please	d or Groups 1-the name list all pa	are applying for are applying for a are applying for a are applying for a and qualification artners as well. I *Nu practice of heas a practice ar a practice of the as a practice are as a practice.	Group 5 Group 5 Group 7 Group 8 Group 0 exclude co ns of all yourself an mber ours citice Firs	e or partnershi - Certified Hyp - Sex Counseld - Psychoanalys - Addiction Co - Self Employe Therapist our salaried (Wa a separate sheed d each employe	on those Quantum properties of the protection of	Family except cleric dditional sper.
Έ Δ Γ A (a)	ANY OF YOUR RESPON APPLICATION WITH TH CHANGES. IF NOT, PLE Please check the correct to boxes that pertain to all p Group 1- School Group 2 - Emplot Marria Group 3 - B.A. I Group 4 - Clergy Group 5 - Self-E I understand List your name and qualifityou are applying for a parequired. Please include the	SES TO Q E INSURE ASE SKIP Dox for your professional Counselor by de Counse ge and Fami Level-Employ & Pastoral Employed Co that if I qual ications. In rtnership por premium co All Degrees You	r rating grounds. elor/Employee ily Therapist byed Counselor counselor counselor addition, list blicy, please charge indicate Date Degree	d or Groups 1-the name list all pated on the Field of	are applying for are applying for a are applying for a and qualification artners as well. I *Nu practice of hear a are a are a are applying for a are applying for a are schedule for as a ear are a	Group 5 Group 5 Group 7 Group 8 Group 0 exclude co ns of all yourself an mber ours citice Firs	e or partnershi - Certified Hyp - Sex Counseld - Psychoanalys - Addiction Co - Self Employe Therapist our salaried (Was separate sheed d each employe Licens	on those Quantity of coverage, plants or sts ounselors and Marriage and wate practice. 2) employees, out of paper if a see and/or partners or Certification	Family except cler dditional ser. on

^{*}You must include <u>all</u> hours you practice (privately and as an employee). If your total number of hours exceed 20, you do not qualify for the part-time rate.

5.	(a)	righest degree is a BA the follow The name of your supervisor	: <u> </u>					cceptabilit	y.
	(b)	Supervisor's degree, field of	study, license and/or	certification:	C 16 (1 B) 4	. 11	1.1 (* 11		
		(Supervision must be provide	led by a professional	with a minimum o	f a Master's Degree in th	ie mental hei	alth field	.)	
6.	Not	st the number of your entire e	ur direct employees (j	for whom you file	W-2 form) and their na	_ mes and cred	dentials i	must be in	cluded
7.	(a) If so	plicant a member in good stan o, state the organization and ty . Regular, Clinical, Associate,	pe of membership.		☐ Yes ☐ No	_			
8.	Are you	engaged in self-employment,	paid consultation (10	99 form), private p	ractice or volunteer work	?		No	
9.	If y	employed (a W-2 form emplo es, on a full-time or part-time es, please complete the inform	(20 hours or less) bas	sis? Full-Time	Part-Time	Yes		No	
	(a)	Name of your employer:							
		Address of your employer: _							
	indi If y	ou are <u>both</u> self-employed an icating that you are fully insu ou apply and qualify for the o sulting, volunteering or men	red by your employed exclusively employed	r at your W-2 emporate, the policy with	oyment must be submitte l exclude coverage for pr	ed.	_		
	_								
10.	provides	or any person named in Questi mental health services? lease explain, and include the		_			-	ress enterp Yes	No
11.	(a) Does menta	the Applicant use any Indepe l health field and who you do s, please list the name and pro	ndent Contractors or billing for, share fees	Consultants (1099 with or in any way	form) whose services are	in the		Yes 🗌	No
		endent Contractors or Consult acts subject to the terms of t							
	1	Name of Independent			Licens	se or Certific	ation		
		ontractor or Consultant	Degree	Field of Study			Title		
	If	fadditional space is required,	please use a separat	e sheet of paper to	submit a complete listing	7.			
12.	Has any	person or entity based on a co		equested that they les \(\subseteq \text{No} \)	pe added to your policy as	s an Addition	al Insure	ed?	
	(a) Nar	ne of proposed Additional Ins	ured:						
	(b) Add	dress of proposed Additional I	nsured:						
	(c) The	Additional Insured is my: Employer	Professiona	l Corporation 🔲	Other (Specify):	_			
		e Additional Insured gives me W-2 form 1099 forn		o file with the IRS:					
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(1	f) Are you requesting that the person or entity named in 12(a) above be added as an Additional Insured in order to obligation?	
	If yes, provide full particulars:	
7.	REPRESENTATIONS	
	ALL RENEWAL APPLICANTS MUST COMPLETE THIS SECTION.	
A	After inquiry* of each individual listed in Question 4: "After inquiry" means that the Applicant has inquired of each person as to whether he/she has information pertinent	to this question.
If	f you answer "Yes" to any question below, please include all documents pertinent to the situation you are describing	
	a) Has any person named in Question 4, including yourself, ever been convicted of a crime in any state or country? If yes, please give full particulars in order for your Application to be considered.	□Yes □No
If	b) Has any person named in Question 4, including yourself, ever had any licensing board or professional ethics body require the surrender of a license or found any such person or you guilty of a violation of ethics codes, professional unprofessional conduct, incompetence or negligence in any state or country? If yes, please give full particulars and provide copies of charges, correspondence and any findings in order for your application to be considered.	l misconduct, ☐Yes ☐No
-		
If y	Are there any complaints, charges or investigations pending against any person named in Question 4, including yourself, by a licensing board or professional ethics body for violation of ethics codes, professional misconduct, unprofessional conduct, incompetence or negligence in any state or country? yes, please give full particulars and copies of charges, correspondence and any findings in order for your oplication to be considered.	□Yes □No
If y	yourself, by a licensing board or professional ethics body for violation of ethics codes, professional misconduct, unprofessional conduct, incompetence or negligence in any state or country? yes, please give full particulars and copies of charges, correspondence and any findings in order for your	□Yes □No
If y Ap	yourself, by a licensing board or professional ethics body for violation of ethics codes, professional misconduct, unprofessional conduct, incompetence or negligence in any state or country? yes, please give full particulars and copies of charges, correspondence and any findings in order for your oplication to be considered. OTE: MISSOURI APPLICANTS DO NOT RESPOND TO QUESTION 13.(d) d) Has any person named in Question 4, including yourself, ever had any insurance company or Lloyd's decline, cancel, refuse to renew, or accept only on special terms any professional liability insurance?	□Yes □No
If y Ap	yourself, by a licensing board or professional ethics body for violation of ethics codes, professional misconduct, unprofessional conduct, incompetence or negligence in any state or country? yes, please give full particulars and copies of charges, correspondence and any findings in order for your oplication to be considered. OTE: MISSOURI APPLICANTS DO NOT RESPOND TO QUESTION 13.(d) d) Has any person named in Question 4, including yourself, ever had any insurance company or Lloyd's decline,	
If y Ap	yourself, by a licensing board or professional ethics body for violation of ethics codes, professional misconduct, unprofessional conduct, incompetence or negligence in any state or country? yes, please give full particulars and copies of charges, correspondence and any findings in order for your oplication to be considered. OTE: MISSOURI APPLICANTS DO NOT RESPOND TO QUESTION 13.(d) d) Has any person named in Question 4, including yourself, ever had any insurance company or Lloyd's decline, cancel, refuse to renew, or accept only on special terms any professional liability insurance?	
If y Ap	yourself, by a licensing board or professional ethics body for violation of ethics codes, professional misconduct, unprofessional conduct, incompetence or negligence in any state or country? yes, please give full particulars and copies of charges, correspondence and any findings in order for your oplication to be considered. OTE: MISSOURI APPLICANTS DO NOT RESPOND TO QUESTION 13.(d) d) Has any person named in Question 4, including yourself, ever had any insurance company or Lloyd's decline, cancel, refuse to renew, or accept only on special terms any professional liability insurance? If yes, please give full particulars in order for your Application to be considered. e) Has any professional liability claim or suit ever been made against any person named in Question 4, including	□Yes □No

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example a guardian, blood relative of the patient or spouse or any person sharing the patient's dom	nicile)?
(*"Sexual misconduct" means any actual or alleged erotic physical contact or attempt, threat or p	proposal thereof.)
If yes, please give full particulars in order for your Application to be considered.	
Are you now being or have you ever been treated for a serious health problem that did or can impai	r your ability to treat clients?

V. NOTICES TO APPLICANT & FRAUD WARNINGS

The undersigned, as authorized agent of all individuals and entities proposed for this insurance, represents that, to the best of his/her knowledge and belief, after diligent inquiry, the statements in this Application and any attachments or information submitted to or obtained by the Insurer in connection with this Application (together referred to as the "Application") are true and complete.

The information in this Application is material to the risk accepted by the Insurer. If a policy is issued it will be in reliance by the Insurer upon the Application, and the Application will be the basis of the contract. The Application is on file with the Insurer, and shall be deemed to be attached to, and made a part of, and incorporated into the Policy, if issued.

The Insurer is authorized to make any inquiry in connection with this Application. The Insurer's acceptance of this Application or the making of any subsequent inquiry does not bind the Applicant or the Insurer to complete the insurance or issue a policy.

If the information in this Application materially changes prior to the effective date of the Policy, the Applicant will immediately notify the Insurer, and the Insurer may modify or withdraw any quotation or agreement to bind insurance.

NOTICE TO ALABAMA APPLICANTS: "ANY PERSON WHO KNOWINGLY PRESENTS A FALSE OR FRAUDULENT CLAIM FOR PAYMENT OF A LOSS OR BENEFIT, OR KNOWINGLY PRESENTS FALSE INFORMATION IN AN APPLICATION FOR INSURANCE IS GUILTY OF A CRIME AND MAY BE SUBJECT TO RESTITUTION FINES OR CONFINEMENT IN PRISON, OR ANY COMBINATION THEREOF."

NOTICE TO ARKANSAS APPLICANTS: "ANY PERSON WHO KNOWINGLY PRESENTS A FALSE OR FRAUDULENT CLAIM FOR PAYMENT OF A LOSS OR BENEFIT, OR KNOWINGLY PRESENTS FALSE INFORMATION IN AN APPLICATION FOR INSURANCE IS GUILTY OF A CRIME AND MAY BE SUBJECT TO FINES AND CONFINEMENT IN PRISON."

NOTICE TO COLORADO APPLICANTS: "IT IS UNLAWFUL TO KNOWINGLY PROVIDE FALSE, INCOMPLETE, OR MISLEADING FACTS OR INFORMATION TO AN INSURANCE COMPANY FOR THE PURPOSE OF DEFRAUDING OR ATTEMPTING TO DEFRAUD THE COMPANY. PENALTIES MAY INCLUDE IMPRISONMENT, FINES, DENIAL OF INSURANCE AND CIVIL DAMAGES. ANY INSURANCE COMPANY OR AGENT OF AN INSURANCE COMPANY WHO KNOWINGLY PROVIDES FALSE, INCOMPLETE, OR MISLEADING FACTS OR INFORMATION TO A POLICYHOLDER OR CLAIMANT FOR THE PURPOSE OF DEFRAUDING OR ATTEMPTING TO DEFRAUD THE POLICYHOLDER OR CLAIMANT WITH REGARD TO A SETTLEMENT OR AWARD PAYABLE FROM INSURANCE PROCEEDS SHALL BE REPORTED TO THE COLORADO DIVISION OF INSURANCE WITHIN THE DEPARTMENT OF REGULATORY AGENCIES."

NOTICE TO DISTRICT OF COLUMBIA APPLICANTS: "WARNING: IT IS A CRIME TO PROVIDE FALSE OR MISLEADING INFORMATION TO AN INSURER FOR THE PURPOSE OF DEFRAUDING THE INSURER OR ANY OTHER PERSON. PENALTIES INCLUDE IMPRISONMENT AND/OR FINES. IN ADDITION, AN INSURER MAY DENY INSURANCE BENEFITS IF FALSE INFORMATION MATERIALLY RELATED TO A CLAIM WAS PROVIDED BY THE APPLICANT."

NOTICE TO FLORIDA APPLICANTS: "ANY PERSON WHO KNOWINGLY AND WITH INTENT TO INJURE, DEFRAUD, OR DECEIVE ANY INSURER FILES A STATEMENT OF CLAIM OR AN APPLICATION CONTAINING ANY FALSE, INCOMPLETE OR MISLEADING INFORMATION IS GUILTY OF A FELONY OF THE THIRD DEGREE."

NOTICE TO HAWAII APPLICANTS: "FOR YOUR PROTECTION, HAWAII LAW REQUIRES YOU TO BE INFORMED THAT PRESENTING A FRAUDULENT CLAIM FOR PAYMENT OF A LOSS OF BENEFIT IS A CRIME PUNISHABLE BY FINES OR IMPRISONMENT, OR BOTH."

NOTICE TO KENTUCKY APPLICANTS: "ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD ANY INSURANCE COMPANY OR OTHER PERSON FILES AN APPLICATION FOR INSURANCE CONTAINING ANY MATERIALLY FALSE INFORMATION OR CONCEALS, FOR THE PURPOSE OF MISLEADING, INFORMATION CONCERNING ANY FACT MATERIAL THERETO COMMITS A FRAUDULENT INSURANCE ACT, WHICH IS A CRIME."

NOTICE TO LOUISIANA APPLICANTS: "ANY PERSON WHO KNOWINGLY PRESENTS A FALSE OR FRAUDULENT CLAIM FOR PAYMENT OF A LOSS OR BENEFIT OR KNOWINGLY PRESENTS FALSE INFORMATION IN AN APPLICATION FOR INSURANCE IS GUILTY OF A CRIME AND MAY BE SUBJECT TO FINES AND CONFINEMENT IN PRISON."

NOTICE TO MAINE APPLICANTS: "IT IS A CRIME TO KNOWINGLY PROVIDE FALSE, INCOMPLETE OR MISLEADING INFORMATION TO AN INSURANCE COMPANY FOR THE PURPOSE OF DEFRAUDING THE COMPANY. PENALTIES MAY INCLUDE IMPRISONMENT, FINES OR A DENIAL OF INSURANCE BENEFITS."

NOTICE TO MARYLAND APPLICANTS: "ANY PERSON WHO KNOWINGLY OR WILLFULLY PRESENTS A FALSE OR FRAUDULENT CLAIM FOR PAYMENT OF A LOSS OR BENEFIT OR WHO KNOWINGLY OR WILLFULLY PRESENTS FALSE INFORMATION IN AN APPLICATION FOR INSURANCE IS GUILTY OF A CRIME AND MAY BE SUBJECT TO FINES AND CONFINEMENT IN PRISON."

NOTICE TO NEW JERSEY APPLICANTS: "ANY PERSON WHO INCLUDES ANY FALSE OR MISLEADING INFORMATION ON AN APPLICATION FOR AN INSURANCE POLICY IS SUBJECT TO CRIMINAL AND CIVIL PENALTIES."

NOTICE TO NEW MEXICO APPLICANTS: "ANY PERSON WHO KNOWINGLY PRESENTS A FALSE OR FRAUDULENT CLAIM FOR PAYMENT OF A LOSS OR BENEFIT OR KNOWINGLY PRESENTS FALSE INFORMATION IN AN APPLICATION FOR INSURANCE IS GUILTY OF A CRIME AND MAY BE SUBJECT TO CIVIL FINES AND CRIMINAL PENALTIES."

NOTICE TO NEW YORK APPLICANTS: "ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD ANY INSURANCE COMPANY OR OTHER PERSON FILES AN APPLICATION FOR INSURANCE OR STATEMENT OF CLAIM CONTAINING ANY MATERIALLY FALSE INFORMATION, CONCEALS FOR THE PURPOSE OF MISLEADING, INFORMATION CONCERNING ANY FACT MATERIAL THERETO, COMMITS A FRAUDULENT INSURANCE ACT, WHICH IS A CRIME, AND SHALL ALSO BE SUBJECT TO A CIVIL PENALTY NOT TO EXCEED FIVE THOUSAND DOLLARS AND THE STATED VALUE OF THE CLAIM FOR EACH SUCH VIOLATION."

NOTICE TO OHIO APPLICANTS: "ANY PERSON WHO, WITH INTENT TO DEFRAUD OR KNOWING THAT HE IS FACILITATING A FRAUD AGAINST AN INSURER, SUBMITS AN APPLICATION OR FILES A CLAIM CONTAINING A FALSE OR DECEPTIVE STATEMENT IS GUILTY OF INSURANCE FRAUD."

NOTICE TO OKLAHOMA APPLICANTS: "WARNING: ANY PERSON WHO KNOWINGLY, AND WITH INTENT TO INJURE, DEFRAUD OR DECEIVE ANY INSURER, MAKES ANY CLAIM FOR THE PROCEEDS OF AN INSURANCE POLICY CONTAINING ANY FALSE, INCOMPLETE OR MISLEADING INFORMATION IS GUILTY OF A FELONY (365:15-1-10, 36 §3613.1)."

NOTICE TO OREGON APPLICANTS: "ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD OR SOLICIT ANOTHER TO DEFRAUD AN INSURER: (1) BY SUBMITTING AN APPLICATION, OR (2) BY FILING A CLAIM CONTAINING A FALSE STATEMENT AS TO ANY MATERIAL FACT, MAY BE VIOLATING STATE LAW."

NOTICE TO PENNSYLVANIA APPLICANTS: "ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD ANY INSURANCE COMPANY OR OTHER PERSON FILES AN APPLICATION FOR INSURANCE OR STATEMENT OF CLAIM CONTAINING ANY MATERIALLY FALSE INFORMATION OR CONCEALS FOR THE PURPOSE OF MISLEADING, INFORMATION CONCERNING ANY FACT MATERIAL THERETO COMMITS A FRAUDULENT INSURANCE ACT, WHICH IS A CRIME AND SUBJECTS SUCH PERSON TO CRIMINAL AND CIVIL PENALTIES."

NOTICE TO RHODE ISLAND APPLICANTS: "ANY PERSON WHO KNOWINGLY PRESENTS A FALSE OR FRAUDULENT CLAIM FOR PAYMENT OF A LOSS OR BENEFIT OR KNOWINGLY PRESENTS FALSE INFORMATION IN AN APPLICATION FOR INSURANCE IS GUILTY OF A CRIME AND MAY BE SUBJECT TO FINES AND CONFINEMENT IN PRISON."

NOTICE TO TENNESSEE APPLICANTS: "IT IS A CRIME TO KNOWINGLY PROVIDE FALSE, INCOMPLETE OR MISLEADING INFORMATION TO AN INSURANCE COMPANY FOR THE PURPOSE OF DEFRAUDING THE COMPANY. PENALTIES INCLUDE IMPRISONMENT, FINES AND DENIAL OF INSURANCE BENEFITS."

NOTICE TO TEXAS APPLICANTS: "ANY PERSON WHO KNOWINGLY PRESENTS A FALSE OR FRAUDULENT CLAIM FOR THE PAYMENT OF A LOSS IS GUILTY OF A CRIME AND MAY BE SUBJECT TO FINES AND CONFINEMENT IN STATE PRISON."

NOTICE TO VERMONT APPLICANTS: "ANY PERSON WHO KNOWINGLY PRESENTS A FALSE STATEMENT IN AN APPLICATION FOR INSURANCE MAY BE GUILTY OF A CRIMINAL OFFENSE AND SUBJECT TO PENALTIES UNDER STATE LAW."

NOTICE TO VIRGINIA APPLICANTS: "IT IS A CRIME TO KNOWINGLY PROVIDE FALSE, INCOMPLETE OR MISLEADING INFORMATION TO AN INSURANCE COMPANY FOR THE PURPOSE OF DEFRAUDING THE COMPANY. PENALTIES INCLUDE IMPRISONMENT, FINES AND DENIAL OF INSURANCE BENEFITS."

NOTICE TO WASHINGTON APPLICANTS: "IT IS A CRIME TO KNOWINGLY PROVIDE FALSE, INCOMPLETE OR MISLEADING INFORMATION TO AN INSURANCE COMPANY FOR THE PURPOSE OF DEFRAUDING THE COMPANY. PENALTIES INCLUDE IMPRISONMENT, FINES AND DENIAL OF INSURANCE BENEFITS."

NOTICE TO WEST VIRGINIA: "ANY PERSON WHO KNOWINGLY PRESENTS A FALSE OR FRAUDULENT CLAIM FOR PAYMENT OF A LOSS OR BENEFIT OR KNOWINGLY PRESENTS FALSE INFORMATION IN AN APPLICATION FOR INSURANCE IS GUILTY OF A CRIME AND MAY BE SUBJECT TO FINES AND CONFINEMENT IN PRISON."

NOTICE TO ALL OTHER APPLICANTS: "IT IS A CRIME TO KNOWINGLY PROVIDE FALSE, INCOMPLETE OR MISLEADING INFORMATION TO AN INSURANCE COMPANY FOR THE PURPOSE OF DEFRAUDING THE COMPANY. PENALTIES MAY INCLUDE IMPRISONMENT, FINES OR A DENIAL OF INSURANCE BENEFITS."

VI. DECLARATION AND SIGNATURE

VI. DECLARATION AND SIGNATURE					
I understand that it is my obligation to maintain any license required in the jurisdictions in which I practice.					
Date:	Signature:				
(This application must be dated within 30 days of receipt)	(APPLICANT / OWNER / PRESIDENT OF CORPORATION)				
	Title:				
Application must be signed, dated, fully completed and accompan	ied by the premium to be considered.				
Please make checks payab	ble and mail to: American Professional Agency, Inc.				

Program Administrator:

AMERICAN PROFESSIONAL AGENCY, INC.

95 Broadway, Amityville, NY 11701

(631) 691-6400 • (800) 421-6694

www.americanprofessional.com

Save form first on your computer before submitting.

Producer Signature:

IMPORTANT SURCHARGE INFORMATION

Allied World Insurance Company

NOTICE TO FLORIDA RESIDENTS:

The Florida Insurance Guaranty Association requires insurance companies to charge all policies written for its residents a surcharge of 1%. Please include this additional premium when remitting your premium.

NOTICE TO KENTUCKY RESIDENTS:

Kentucky law requires insurance companies to charge all policies written for its residents a surcharge of 1.8%. Depending on your profession, we may be required to assess your policy with a municipality tax which is based on the location of your residence. Please include this additional premium when remitting your premium.

NOTICE TO MAINE RESIDENTS:

The Rural Medical Access Program requires insurance companies to charge physicians, hospitals, and physicians' employers who are insured for professional liability through a licensed insurer to pay the assessment of .4% to the insurer upon the insurers' premium billing. This charge applies to policyholders who are Psychiatrists, Psychiatric NPs, Physician Assistants, Neurologists, Nurse Practitioners, APRNs, and CNSs with prescriptive authority.

NOTICE TO NEW JERSEY RESIDENTS:

The New Jersey Property and Liability Insurance Guaranty Association requires insurance companies to charge all policies written for its residents a surcharge of .3%. Please include this additional premium when remitting your premium.

NOTICE TO WEST VIRGINIA RESIDENTS:

West Virginia law requires insurance companies to charge all policies written for its residents a surcharge of .55%. Please include this additional premium when remitting your premium.